



PATENT
Attorney Docket No.: 015358-005500US
Client Reference No.: ID-RSV-197

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED

In re application of:

JAMEY GRAHAM

Application No.: 09/348,652

Filed: July 6, 1999

For: METHOD AND SYSTEM FOR
CREATING A DOCUMENT INTEREST
PROFILE

Examiner: Cesar B. Paula

JUL 14 2004

Art Unit: 2178

Technology Center 2100

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER 37
CFR §1.97 and §1.98

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

The references cited on the attached PTO/SB/08A and PTO/SB/08B forms are being called to the attention of the Examiner. Copies of the references are enclosed. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information, and no inference should be made that the information and references cited are, or are considered to be material to patentability because they are in this statement. No inference should be made that the information and references cited are prior art merely because they are in this statement.

07/14/2004 WABDELRI 00000145 201430 09348652

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This IDS is being filed before the mailing date of the final Office Action or Notice of Allowance. Please charge the IDS fee of \$180 to Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,

S. B. Kotwal

Sujit B. Kotwal
Reg. No. 43,336

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Tel: 650-326-2400
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SBK:crf

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RPTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/348,652	
	Filing Date	July 6, 1999	
	First Named Inventor	Graham, Jamey	
	Group Art Unit	2178	
	Examiner Name	Paula, Cesar B.	
Total Number of Pages in This Submission	6	Attorney Docket Number	015358-005500US

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ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): 1) Return receipt postcard 2) PTO/SB/08A and PTO/SB/08B forms 3) Twenty-five (25) references 4) PTO/SB/17 fee transmittal form
Remarks		The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430. Total number of pages <u>does not</u> include cited references.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm and Individual name	Townsend and Townsend and Crew LLP Sujit B. Kotwal Reg No. 43,336
Signature	S. B. Kotwal
Date	July 7, 2004

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: July 9, 2004	
Typed or printed name	Christopher R. Fitting
Signature	
Date	July 9, 2004

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60255456 v1

**FEE TRANSMITTAL
for FY 2004**

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27**TOTAL AMOUNT OF PAYMENT** (\$) 180

Complete if Known

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SUBMITTED BY			Complete (if applicable)		
Name (Print/Type)	Sujit B. Kotwal	Registration No. (Attorney/Agent)	43,336	Telephone	650-326-2400
Signature	S. B. Kotwal			Date	July 7, 2004

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	09/348,652
				Filing Date	July 6, 1999
				First Named Inventor	Graham, Jamey
				Art Unit	2178
				Examiner Name	Cesar B. Paula
Sheet	2	of	2	Attorney Docket Number	015358-00550009

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NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	AP	"Flexible JAMM Screenshots," downloaded from internet site http://simon.cs.ct.edu/~jamm 5/4/00.	
	AQ	"c:\...9149920c.wpd - unmodified" Screen Shots of document created in Corel WordPerfect, 4 pages, (April 30, 2002)	
	AR	BEGOLE et al., "Flexible Collaboration Transparency," doc. ID: ncstrl.vatech_cs/TR-98-11, Virginia Polytechnic Institute and State University (1998).	
	AS	BEGOLE et al., "Supporting Worker Independence in Collaboration Transparency," doc. ID: ncstrl.vatech_cs/TR-98-12, Virginia Polytechnic Institute and State University (1998).	
	AT	GLIEDMAN, J., "Virtual Office Managers," <u>Computer Shopper</u> , 18(9):290 (1998).	
	AU	IBM Technical Disclosure Bulletin, "Quick Selection of Window Environment Coordinates," 35:57-60, ISS # 4B, (1992).	
	AV	LAM et al. "Automatic document classification based on probabilistic reasoning: model and performance analysis," <u>IEEE</u> , 3:2719-2723 (1997).	
	AW	LANGLEY, P., "An Analysis of Bayesian Classifiers," <u>Proceedings of 10th National Conference on Artificial Intelligence</u> , pgs. 223-228 (1992).	
	AX	LANGLEY, P., "Induction of Selective Bayesian Classifiers," <u>Proceedings of 10th National Conference on Uncertainty in Artificial Intelligence</u> , pgs. 400-406 (1994).	
	AY	TAXT, T., "Segmentation of Document Images," <u>IEEE</u> , 11(12):1322-1329 (1989).	

Examiner Signature		Date Considered	
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* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.



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Sheet

1

of

2

Complete if Known

Application Number 09/348,652

Filing Date July 6, 1999

First Named Inventor Graham, Jamey

Art Unit 2178

Examiner Name Cesar B. Paula

Attorney Docket Number 015358-005500US

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U.S. PATENT DOCUMENTS+

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number Kind Code ² (if known)			
	AA	US-6,369,811	04-09-2002	Graham et al.	
	AB	US-6,101,503	08-08-2000	Cooper et al.	
	AC	US-6,094,648	07-25-2000	Aalbersberg et al.	
	AD	US-6,026,409	02-15-2000	Blumenthal	
	AE	US-5,987,454	11-16-1999	Hobbs	
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	AK	US-5,751,283	05-12-1998	Smith	
	AL	US-5,721,897	02-24-1998	Rubinstein et al.	
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	AN	US-5,349,658	09-20-1994	O'Rourke et al.	

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document.			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
	AO	EP	459 174	A2	12-04-1991			<input type="checkbox"/>
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